

# COLORADO ATHLETICS COMPLIANCE

Monthly Compliance Meeting  
November 13, 2008

*PROTECT*  
*YOUR*  *TEAM*

# COLORADO ATHLETICS COMPLIANCE

## Reporting A Violation

*PROTECT  
YOUR  TEAM*

# University of Colorado at Boulder Athletics Compliance Policy Statement

- CU-Boulder is committed to maintaining the integrity of its intercollegiate athletics program, sports teams, coaches and SA. **CU-Boulder manages a system where violations are reported, not concealed, and addressed swiftly and thoroughly.** The athletics department operates in accordance with the principle of Institutional Control, consistent with the letter and the spirit of all NCAA, Big 12 Conference, and CU-Boulder rules and regulations. Institutional Control of the athletics program is maintained through:
  - Responsible actions by athletics department staff members, SA, CU-Boulder administrators, faculty, and other CU-Boulder entities.
  - The **ongoing education** of coaches, staff members, SA & individuals involved with the operation of the athletics compliance program.

# University of Colorado at Boulder Athletics Compliance Policy Statement

- Development of clear and effective CU-Boulder **operating policies and procedures** within and outside of the athletics department that provide guidance to the effective operation of CU-Boulder's athletics compliance system.
- The **monitoring** and ensuring of proper adherence to these policies and procedures to ensure proper utilization, and to discover and report any violations of these policies and NCAA and Big 12 Conference legislation and regulations that may occur

# University of Colorado at Boulder Athletics Compliance Policy Statement

- **Each individual involved in intercollegiate athletics is obligated to maintain competency in knowledge of the rules;** to act within his/her realm of responsibility in full compliance with the governing legislation; and to report any information concerning a potential violation of NCAA, Big 12 Conference and/or institutional rules of which he or she is aware.

**Any willful violation or failure to report credible information concerning a possible violation of a NCAA, Big 12 Conference, and/or CU-Boulder regulation regarding intercollegiate athletics may subject a coach, administrative staff member or other CU-Boulder official to immediate disciplinary action, up to and including, termination of employment as governed by CU-Boulder regulations.**

Likewise, SAs found to have violated any NCAA, Big 12 , and/or CU-Boulder regulation are also subject to disciplinary actions pursuant to CU-Boulder and Athletic Department policies and procedures.

# University of Colorado at Boulder Athletics Compliance Policy Statement

- CU-Boulder's goals in responding will be to encourage prompt and accurate communication, to seek consistency and accountability and to send a strong message that CU-Boulder is resolutely committed to rules compliance.

# Procedures for Reporting and Reviewing Information About a Potential NCAA Rules Infraction

- CU-Boulder firmly believes that NCAA rules education is the best means of preventing a violation of NCAA regulations. In addition to this focus on education, and in recognition of the complex regulatory framework within which a D I intercollegiate athletics program operates, CU-Boulder has established and implemented a clearly-defined process to manage secondary violations and major infractions of NCAA legislation.
- See Procedures for Reporting and Reviewing Information about a Potential NCAA Rules Infraction, attached hereto.
- As part of these procedures, CU-Boulder established a procedure pursuant to which the Chancellor may activate the Intercollegiate Athletics External Review Committee ("IAERC"), which is external to the Department of Athletics, to manage the investigation of and advise the Chancellor on a potential NCAA major infraction or other significant matter related to intercollegiate athletics.

# Whom to Call With NCAA Questions

- It is extremely important for all CU-Boulder employees to abide by all NCAA regulations. If an employee has any questions or requires information regarding NCAA rules, they should contact the Office of Athletic Compliance before taking any action that may jeopardize a SA's eligibility to participate in intercollegiate athletics. CU-Boulder is committed to success both at and away from the competition venues. In order to achieve compliance, all must cooperate and demonstrate commitment. Inquiries related to NCAA rule and regulations should be addressed to:

Athletics Department  
Office of Athletic Compliance  
University of Colorado  
369 UCB  
Boulder, Colorado 80309  
303-492-5390 (Phone)  
303-492-3364 (Fax)  
Email: [comply@colorado.edu](mailto:comply@colorado.edu)


# Reporting A Violation

- All athletic department staff members, SAs, or CU-Boulder employees with direct involvement in athletics **are obligated** to report verbally or in writing any violations or possible violations of which they are aware.
- All other individuals (e.g., students, PSAs, CU-Boulder employees without direct involvement in athletics, members of the community) **are encouraged** to report verbally or in writing any alleged, rumored, or suspected violations.


A report of a violation or possible violation can be made to the following:

- if appropriate, the immediate supervisor of the person reporting;
- Compliance Director;
- the Director of Athletics, any Associate or Assistant Director of Athletics;
- the Faculty Athletics Representative;
- the Chancellor;
- the Office of University Counsel.

All individuals to whom the violation or possible violation is reported, with the exception of the Compliance Director, are obligated in turn to report the violation to the Athletics Compliance Office.



A report of a violation or possible violation should include the following:

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- date(s) and time(s) of violation;
  - individual(s) involved in the violation;
  - description of the violation;
  - reason(s) the violation occurred, and
  - if applicable, a statement of actions which have been or will be taken in order to prevent a similar violation from recurring.